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BSE ACKNOWLEDGEMENT

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Date and Time of Submission	5/30/2024 5:12:03 PM
Scripcode and Company Name	523209 - NICCO UCO ALLIANCE CREDIT LTD.
Subject / Compliance Regulation	Compliances-Reg.24(A)-Annual Secretarial Compliance
Submitted By	SUDHANGSU SEKHAR MAJUMDAR
Designation	Company Secretary & Compliance Officer

Disclaimer : - Contents of filings has not been verified at the time of submission.



NICCO UCO ALLIANCE CREDIT LIMITED

NICCO HOUSE, 2nd Floor, 2, Hare Street, Kolkata - 700 001

Phone : 033 4005 6499, 033 4003 5159

E-mail : mdnuacl@gmail.com, nufslcal@gmail.com

CIN : L65910WB1984PLC037614

Date: 25/05/2024

The Secretary,
BSE Ltd.,
P.J.Towers, 25th Floor,
Dalal Street,
Mumbai – 400 001.

Dear Sir,

Scrip Code: 523209

Sub: Submission of Annual Secretarial Compliance Report

Respected Sir / Madam,

With reference to the above captioned subject matter and pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8 February 2019; we are forwarding herewith Annual Secretarial Compliance Report for the Financial Year 2023-24.

Kindly take the same on your record & oblige.

Thanking you,

Yours faithfully,

for Nicco Uco Alliance Credit Ltd.

S.S.Majumdar
Company Secretary & Compliance Officer,
ICSI Memb. No. ACS 1484



Encl: As above.



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Practicing Comp. et al

F.C.S., B. Com (Hons.), L.L.B

Address: 1st Floor, Room No. 115, Security House,
23B, N.S. Road, Kolkata 700001

Email Id: csmadhuripandey@gmail.com

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Secretarial Compliance Report of Nicco Uco Alliance Credit Limited for the financial year ended 31st March, 2024

I, Madhuri Pandey, Practicing Company Secretary have examined:

- (a) all the documents and records made available to us and explanation provided by Nicco Uco Alliance Credit Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the Stock Exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this Report for the financial year ended 31st March, 2024 ("Review Period") in respect of compliance with the provisions of:
 - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and theregulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the regulations, circulars, guidelines issued there under by the SEBI:The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (LODR) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;
- (f) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) other regulations as applicable.

and circulars/ guidelines issued thereunder;

(Note: The aforesaid list of Regulations is only illustrative. The list of such SEBI Regulations, as may be relevant and applicable to the listed entity for the review period, shall be added.)

Madhuri Pandey



and based on the above examination, I hereby report that, during the Review Period:

(a) (**) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below: N.A.

Sr. No.	Compliance Requirement (Regulations / circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary (PCS)	Management Response	Remarks
					Advisory/ Clarification/ Fine/ Show Cause Notice/ Warning etc.					3/10

(b) The listed entity has taken the following actions to comply with the observations made in previous reports: N.A.

Sr. No.	Observations/Remarks Of the Practicing Company Secretary in the previous reports) (PCS)	Observations made the secretarial compliance report for the year ended ... (the years are to be mentioned)	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Details of violation/ deviations and actions taken / penalty imposed, if any, on the listed entity	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity

(Note: Observation of previous year 31st March, 2023 is attached herewith as Annexure-A)

1. Provide the list of all the observations in the report for the previous year along with the actions taken by the listed entity on those observations.

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2. Add the list of all observations in the reports pertaining to the periods prior to the previous year in case the entity has not taken sufficient steps to address the concerns raised/ observations.

E.g. In the report for the year ended 31st Mar, 2023, the PCS shall provide a list of:

- all the observations in the report for the year ended 31st March, 2022 alongwith the actions.
- taken by the listed entity on those observations.
- the observations in the reports pertaining to the year ended 31st March, 2022 and earlier, in case the entity has not taken sufficient steps to address the concerns raised/ observations in those reports.)

1. I/we hereby report that, during the review period the compliance status of the listed entity the following requirements:

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Sr. No.	Particulars	Compliance Status (Yes/ No/NA)	Observations/Remarks by PCS*
1.	<p>Secretarial Standards:</p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries of India (ICSI).</p>	YES	No Observation
2.	<p>Adoption and timely updation of the Policies:</p> <p>All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities.</p> <p>All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/ circulars/guidelines issued by SEBI.</p>	YES	No Observation
3.	<p>Maintenance and disclosures on Website:</p> <p>The listed entity is maintaining a functional website.</p> <p>Timely dissemination of the documents/ information under a separate section on the website.</p> <p>Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and</p>	YES	No Observation

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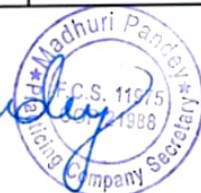
Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observation s/Remarks by PCS*
	specific which redirects to the relevant document(s)/section of the website.		
4.	Disqualification of Director(s): None of the director(s) of the listed entity is/ are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity	YES	No Observation
5.	Details related to subsidiaries of listed entities have been examined w.r.t.: Identification of material subsidiary companies. Disclosure requirement of material as well as other subsidiaries.	NA	No Observation
6.	Preservation of Documents: The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of preservation of documents and archival policy prescribed under SEBI (LODR Regulations, 2015.	YES	No Observation
7.	Performance Evaluation: The listed entity has conducted performance evaluation of the board, independent directors and the committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	YES	No Observation
8.	Related Party Transactions: The listed entity has obtained prior approval of audit committee for all related party transactions; In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the audit committee.	YES	No Observation

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Sr. No.	Particulars	Compliance Status (Yes/ No/NA)	Observations/ Remarks by PCS*
9.	<p>Disclosure of events or information:</p> <p>The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.</p>	YES	No Observation
10.	<p>Prohibition of Insider Trading:</p> <p>The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.</p>	No Action taken by Company	No Observation
11.	<p>Actions taken by SEBI or Stock Exchange(s), if any:</p> <p>No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder (or)</p> <p>The actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges are specified in the last column.</p>	No	Due to Non Compliance under Regulation 14, 24A 31(2), of SEBI (LODR) Regulations, 2015 with the Stock Exchange and 74(5) of Securities Exchange Board of India (Depository and Participants), Regulations, 2018
12.	<p>Resignation of statutory auditors from the listed entity or its material subsidiaries:</p> <p>In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by listed entities.</p>	NA	No Observation

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13.	Additional non-compliances, if any: No additional non-compliances observed for any SEBI regulation/circular/guidance note etc. except as reported above.	YES	No Observation
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Observations/Remarks by PCS are mandatory if the compliance status is provided as 'No' or 'NA'

Assumptions & limitation of scope and review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. My responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. I have not verified the correctness and appropriateness of financial records and books of account of the listed entity.
4. This report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (LODR) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Place: Kolkata
Date: 30.05.2024

Madhuri Pandey



Madhuri Pandey,
Practicing Company Secretary

FCS: 11975

COP No: 21988

Unique Identification No: 12019WB1951600

Peer Review Certificate No. 2674/2022

UDIN: *FO11975 F000499616*

No.	Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular No.	Listing Fees not paid for 2022-23	Taken by	Action	of Violation	Amount	Violations/Remarks of the Practicing Company Sec-retary	Management Response	marks
1	Regulation 14 of Securities Exchange Board of India (LODR), 2015	Regulation 14	Listing Fees not paid for 2022-23	-	-	Non Payment of Listing Fees	-	Listing Fees not paid for 2022-23 for which No action were taken by the BSE	Pursuant to regulation 14 of SEBI (LODR) Regulation, 2015 since 2017-2018 as there are certain issues relating to payment of the fees to BSE Ltd. Company has kept the payment in abeyance till the reply from BSE Ltd. is received or resolved. Despite several reminders, no reply has been received from the exchange therefore the company has not paid the listing fees or	No action taken

Annexure - A

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									charges as the outstanding amount not known to the company till yet.	
2	Regulation 24A of Securities Exchange Board of India (LODR), 2015	Regulation 24A	Secretarial Compliance Report for the financial year ended 31 st March, 2022 filed on 15.06.2022	-	-	-	-	There has been delay in filing Secretarial Compliance Report for 31.03.2022	The final report was received by the company on 30 th May, 2022 in the closing hours, hence delay in uploading by few days.	The Report needs to be filed within 60 Days of Closure of Financial Year but was filed delay.
3	Regulation 31(2) of Securities Exchange Board of India (LODR), 2015	Regulation 31(2)	Non Maintenance of 100 % Promoter's Shareholding in Demat Form					It has been Observed that there was one share pending for dematerialisation in the Promoters Shareholding Pattern of the Company	After 31 st March 2023 all the shares held by promoters have been dematerialised (excepting those shares held by promoter-Nicco Corporation Limited which are in liquidation in the custody of official liquidator hence on which we have no control) and we will intimate to stock exchange about the shareholding pattern in our 1 st quarter report of 2023-2024 after 30 th June 2023.	Promoters Shareholding not maintained in Demat Form
4	Regulation 46 of Securities Exchange Board of India (LODR), 2015	Regulation 46	Non Maintenance of Functional Website containing the information					The Company has not maintained any Website during the Year 2022-	As we already maintaining the functional website and few things are need to	Non Maintenance of Website

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			as mentioned under Reg.46					23	be uploaded on the website that currently we are working on it and it will be reflected soon on the company's website.	
5	Regulation 74(5) of Securities Exchange Board of India (Depository and Participants), Regulations, 2018	Regulation 74(5)	Certificate under Reg. 74(5) has not been filed for the Quarter Ended 30.09.2022, 31.12.2022 and 31.03.2023 and the Certificate as Filed for the Quarter Ended 30.06.2022 was filed on 29.07.2022	-	-	-	-	Non Compliance of the Provisions of Reg. 74(5) of SEBI (DP) Regulations, 2018	As we did not file Compliance certificate for the rest of the quarters Now we understood that compliance should be on quarterly basis and in future we will filing the returns in compliance of regulation 74 of SEBI (D&P) Regulations 2018 on quarterly basis. And we alerted our RTA to take care and furnish information to enable us to comply within the due time.	The Certificate needs to be filed within 15 Days of the receipt of the Certificate for Every Quarter end but was filed delay for 1 st Qtr. and has not been filed for 2 nd , 3 rd and 4 th Qtr of the F.Y 2022-23

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Com-pliance Requirement (Regulations/circulars/guidelines including specific clause)	Regulation/Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/Remarks of the Practicing Company Secretary	Management Response	Remarks
As Per Annexure -A										

