

**BSE LTD**  
**ACKNOWLEDGEMENT**

Acknowledgement No	: 1004202402433027	Date & Time	: 10/04/2024 02:44:12 PM
Scrip Code	: 523209		
Entity Name	: NICCO UCO ALLIANCE CREDIT LIMITED		
Compliance Type	: Regulation 27(2)- Corporate Governance		
Quarter / Period	: 31/03/2024		
Mode	: XBRL E-Filing		

XBRL Excel Utility	
1.	<a href="#">Overview</a>
2.	<a href="#">Before you begin</a>
3.	<a href="#">Index</a>
4.	<a href="#">Steps for Filing Corporate Governance Report</a>
5.	<a href="#">Fill up the data in excel utility</a>

### 1. Overview

The excel utility can be used for creating the XBRL/XML file for e-filing of Corporate Governance Report. XBRL filing consists of two processes. Firstly generation of XBRL/XML file and upload on BSE India Center Website (www.listing.bseindia.com) .

### 2. Before you begin

1. The version of Microsoft Excel in your system should be Microsoft Office Excel 2007 or later.
2. The system should have a file compression software to unzip excel utility file.
3. Make sure that you have downloaded the latest Excel Utility from BSE Website to your system.
4. Make sure that you have downloaded the Chrome Browser to view report generated from the utility.
5. Please enable the Macros (if disabled) as per instructions given in manual, so that all the data is saved fine. Please first go through Enable Macro - Manual attached with zip file.

### 3. Index

1	Details of general information about company
2	Composition of BOD
3	Composition of Committee
4	Meeting of BOD
5	Meeting of Committees
6	Related Party Transaction
7	Affirmation
8	Website
9	Annual Affirmation
10	Annual Affirmation Continue
11	Annexure III
12	Annexure III Affirmations

13	Additional Half yearly Disclosure
14	Details of Cyber security incidence
15	Signatory Details

### 5. Steps for Filing Corporate Governance Report

**I. Fill up the data:** Navigate to each field of every section in the sheet to provide appropriate data. (Data entered in the sheet will get reflected while filling data.)

- Use paste special command to paste data from other sheet.

**II. Validating Sheets:** Click on the "**Validate**" button to ensure that the sheet has been properly furnished in proper format. If there are some errors on the sheet, excel utility will prompt you to correct them.

**III. Validate All Sheets:** Click on the "**Home**" button. And then click on "Validate All Sheets". This will ensure that all sheets have been properly filled and validated successfully. If there are some errors on the sheet, it will prompt you to correct them. Click on "Stop Validation" to stop validation at the same time. After correction, once again follow the same steps.

Excel Utility will not allow you to generate XBRL/XML until you rectify all errors.

**IV. Generate XML :** Excel Utility will not allow you to generate XBRL/XML unless all errors are corrected. Now click on 'Generate XML' to generate XBRL/XML file.

- Save the XBRL/XML file in your desired folder in local system.

**V. Generate Report :** Excel Utility will allow you to generate Report. Now click on 'Generate Report'.

- Save the HTML Report file in your desired folder in local system.
- To view HTML Report open "Chrome Web Browser" .
- To print report in PDF Format, Click on print button and save as PDF.

**VI. Upload XML file to BSE Listing Center:** For uploading the XBRL/XML file generated, click on "Upload XML" button in the Listing Center and upload generated xml file. On Upload screen provide the required information and submit the XML.

### 6. Fill up the data in excel utility

1. Cells with red fonts indicate mandatory fields.

2. If mandatory field is left empty, then Utility will not allow you to proceed further for generating the report.

3. You are not allowed to enter data in the Grey Cells.

4. If fields are not applicable to your company then leave it blank. Do not insert Zero or Nil.

5. Data provided must be in correct format, otherwise Utility will not allow you to proceed further.

6. Select data from "Dropdown list" wherever applicable.

7. Adding Notes: Click on "Add Notes" button to add notes



Finance Report

If generated XBRL/XML file to BSE Listing

and above.

for local system.

from Excel utility

of the functionalities of Excel Utility works

[General Info](#)

[Annexure I - Composition of BOD](#)

[Annexure I - Composition of Committee](#)

[Annexure I - Meeting of BOD](#)

[Annexure I - Meeting of Committees](#)

[Annexure I - Related Party Transaction](#)

[Annexure I - Affirmation](#)

[Annexure II - Website](#)

[Annexure II - Annual Affirmation](#)

[Annexure II - Annual Affirmation Continue](#)

[Annexure III](#)

[Half yearly Affirmations](#)

[Additional Half Yearly Disc](#)

[Cyber security incidence](#)

[Signatory Details](#)

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ll Sheet" button to ensure that all sheets  
et, excel utility will prompt you about the  
procedure to validate all sheets.

ess successful validation of all sheet is

nerate Report" to generate html report.

ated through Utility, login to BSE Listing  
ation and browse to select XML file and

generating XML.

less it is a mandatory field.

ed further for generating XML.

[Home](#)[Validate](#)

## General information abo

Scrip code
NSE Symbol
MSEI Symbol
ISIN
Name of the entity
Date of start of financial year
Date of end of financial year
Reporting Quarter
Date of Report
Risk management committee
Market Capitalisation as per immediate previous Financial Year

[Prev](#)

ut company
523209
INE917B01023
NICCO UCO ALLIANCE CREDIT LIMITED
01-04-2023
31-03-2024
Yearly
31-03-2024
Not Applicable
Any other

Enter the quarter  
ended date only

Next

[Home](#)[Validate](#)

Disclosure of notes on composition of

Whether the listed

Whether Ch

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN
----	-----------------	----------------------	-----	-----

[Add](#)[Delete](#)

1	Mr	BISWAJIT ROY	AFNPR3841J	00198746
2	Mr	PRABIR KUMAR NAG	ABOPN1728R	07178929
3	Ms	Aparna Dey	AKKPD9954G	06941580
4	Mr	KAUSTUBHA BASU	ADYPB2055K	10185801
5	Mr	Uditendu Sarkar	AKKPS8001P	10509121
6	Ms	Anita Lahiri	ACSPL9510E	10520216

[Prev](#)



of board of directors explanatory	<b>Add Notes</b>	
entity has a Regular Chairperson	Yes	
Chairperson is related to MD or CEO	No	
Category 1 of directors	Category 2 of directors	Category 3 of directors

Non-Executive - Independent Director	Chairperson	
Non-Executive - Independent Director	Not Applicable	
Non-Executive - Independent Director	Not Applicable	
Executive Director	Not Applicable	
Non-Executive - Non Independent Director	Not Applicable	
Non-Executive - Non Independent Director	Not Applicable	

		Disqualification of D
Date of Birth	Whether the director is disqualified?	Start Date of disqualification

10-02-1970	No	
18-09-1956	No	
25-09-1968	No	
11-12-1952	No	
21-09-1958	No	
24-04-1956	No	




<b>Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]</b>	<b>Date of passing special resolution</b>	<b>Initial Date of appointment</b>
--	---	------------------------------------

NA		26-09-2014
NA		26-05-2020
NA		31-07-2014
NA		30-06-2023
NA		28-02-2024
NA		28-02-2024


Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]
------------------------	-------------------	--------------------------------	--	--

23-09-2019		114.06	1	1
26-05-2020		46.06	1	1
30-09-2020		116.01	1	1
			1	0
			1	0
			1	0


<b>Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)</b>	<b>No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)</b>	<b>Notes for not providing PAN</b>
--	---	------------------------------------

2	0	
2	2	
2	0	
0	0	
2	0	
0	0	

<b>Notes for not providing DIN</b>

**Next** 

[Home](#)[Validate](#)


**Note: Please enter DIN. After entering DIN, Name of Committee memk**

### Audit Committee Details

Sr	DIN Number	Name of Committee members
1	07178929	PRABIR KUMAR NAG
2	00198746	BISWAJIT ROY
3	06941580	APARNA DEY
4	10509121	Uditendu Sarkar
5		
6		
7		
8		
9		
10		

**Note: Please enter DIN. After entering DIN, Name of Committee memk**

### Nomination and remuneration committee

Sr	DIN Number	Name of Committee members
1	00198746	BISWAJIT ROY



2	06941580	Aparna Dey
3	07178929	PRABIR KUMAR NAG
4	10509121	Uditendu Sarkar
5		
6		
7		
8		
9		
10		

**Note: Please enter DIN. After entering DIN, Name of Committee memk**

### Stakeholders Relationship Committee

Sr	DIN Number	Name of Committee members
1	07178929	PRABIR KUMAR NAG
2	06941580	Aparna Dey
3	00198746	BISWAJIT ROY
4	10509121	Uditendu Sarkar
5		
6		
7		
8		
9		
10		

### Risk Management Committee

Sr	DIN Number	Name of Committee members
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

**Note: Please enter DIN. After entering DIN, Name of Committee memk**

Corporate Social Responsibility Committee		
Sr	DIN Number	Name of Committee members
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

## Other Committee

Sr	DIN Number	Name of Committee members
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

Prev

## Annexure 1

### II. Composition of Committees

Disclosure of notes on composition of committees explanatory

**Members and Category 1 of Directors shall be prefilled automatically**

Whether the Audit Committee has a Regular Chairperson

Category 1 of directors	Category 2 of directors
Non-Executive - Independent Director	Chairperson
Non-Executive - Independent Director	Member
Non-Executive - Independent Director	Member
Non-Executive - Non Independent Director	Member

**Members and Category 1 of Directors shall be prefilled automatically**

Whether the Nomination and remuneration committee has a Regular Chairperson

Category 1 of directors	Category 2 of directors
Non-Executive - Independent Director	Chairperson

Non-Executive - Independent Director	Member
Non-Executive - Independent Director	Member
Non-Executive - Non Independent Director	Member

**Members and Category 1 of Directors shall be prefilled automatically**



Whether the Stakeholders Relationship Committee has a Regular Chairperson

Category 1 of directors	Category 2 of directors
Non-Executive - Independent Director	Chairperson
Non-Executive - Independent Director	Member
Non-Executive - Independent Director	Member
Non-Executive - Non Independent Director	Member







<div style="background-color: #C00000; color: white; padding: 5px; border-radius: 10px; display: inline-block;">Add Notes</div>

For this quarter kindly note the following points:

1. Date of Appointment and Date of Cessation (if applicable) must be mandat
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to :

Yes		
Date of Appointment	Date of Cessation	Remarks
30-03-2001		
30-03-2021		
08-02-2024		
28-02-2024		

Yes		
Date of Appointment	Date of Cessation	Remarks
08-02-2024		



30-03-2021		
30-03-2021		
28-02-2024		

Yes		
Date of Appointment	Date of Cessation	Remarks
30-03-2021		
30-03-2021		
08-02-2024		
28-02-2024		





Category 2 of directors	Remarks

Next 

only filled for every Committee.

September 30,2022

[Home](#)[Validate](#)

III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			<a href="#">Add Note</a>
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
<a href="#">Add</a> <a href="#">Delete</a>			
1	09-11-2023		
2	08-02-2024	90	
3	28-02-2024	19	

[Prev](#)

\* to be filled in only for the current quarter meetings

**Annexure 1**

es

<b>Whether requirement of Quorum met (Yes/No)</b>	<b>Total Number of Directors as on date of the meeting</b>	<b>Number of Directors present* (All directors including Independent Director)</b>
---	--	--

Yes	5	5
Yes	4	4
Yes	6	6


<b>No. of Independent Directors attending the meeting*</b>
--

	5
	4
	6

**Next** 

[Home](#)[Validate](#)


Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)
----	-------------------	---	---

[Add](#)[Delete](#)

1	Audit Committee	09-11-2023	
2	Audit Committee	08-02-2024	90

[Prev](#)

\* to be filled in only for the current quarter meetings



## Annexure 1

### IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Add Note

Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting
-------------------------	-------------------------------	--	--

		Yes	3
		Yes	3



es

<b>Number of Directors Present (All Directors including Independent Director)</b>	<b>No. of Independent Directors attending the meeting*</b>	<b>No. of members attending the meeting (other than Board of Directors)</b>
3	2	3
3	2	3

Next 

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Home

Validate

**Annexure 1**

**Related Party Transactions**

**Subject**

Whether prior approval of audit committee obtained

Whether shareholder approval obtained for material RPT

Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee

**Disclosure of notes on related**

**Disclosure of notes of material transaction**

ev

Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
Yes	
NA	
Yes	
party transactions	<div style="text-align: center; padding: 5px;"> <span style="background-color: #C00000; color: white; padding: 5px 15px; border-radius: 5px;">Add Notes</span> </div>
with related party	<div style="text-align: center; padding: 5px;"> <span style="background-color: #C00000; color: white; padding: 5px 15px; border-radius: 5px;">Add Notes</span> </div>

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tails of non compliance of RPT entered into pursuant to omnibus approval have been reviewed by audit committ

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Home

Validate

**Details of Cy**

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, incidents or breaches or loss of data or documents during the qu

Date of the event	
-------------------	--

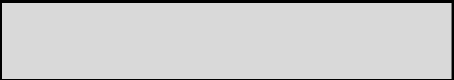


**ber security incidence**

, 2015 there has been cyber security  
arter

No

Brief details of the event



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Sr
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Home

Validate

Annexure 1

**Affirmations**

**Subject**

The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations,  
**a. Audit Committee**

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations,  
**b. Nomination & remuneration committee**

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations,  
**c. Stakeholders relationship committee**

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations,  
**d. Risk management committee (applicable to the top 1000 listed entities)**

The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors.

**Any comments/observations/advice of Board of Directors may be mentioned here:**

ev

Annexure 1

**Subject**

Name of signatory

Designation

Compliance status (Yes/No)
Yes
Yes
Yes
Yes
NA
Yes
Yes
Yes
<a href="#">Add Notes</a>

Next

Compliance status
SUDHANGSU SEKHAR MAILIMDAR Company Secretary and Compliance Officer

[Home](#)[Validate](#)**Annexure II****Annexure II to be submitted by listed entity at the end of the financial year (for the v****I. Disclosure on website in terms of Listing Regulations**

<b>Sr</b>	<b>Item</b>	<b>Compliance status (Yes/No/NA)</b>	<b>If status is "No" details of non-compliance given here.</b>
1	Details of business	NA	
2	Terms and conditions of appointment of independent directors	Yes	
3	Composition of various committees of board of directors	Yes	
4	Code of conduct of board of directors and senior management personnel	Yes	
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes	
6	Criteria of making payments to non-executive directors	Yes	
7	Policy on dealing with related party transactions	Yes	
8	Policy for determining 'material' subsidiaries	Yes	
9	Details of familiarization programmes imparted to independent directors	Yes	
10	Email address for grievance redressal and other relevant details	Yes	
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	
12	Financial results	Yes	
13	Shareholding pattern	Yes	
14	Details of agreements entered into with the media companies and/or their associates	NA	
15.1	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA	
15.2	Audio or video recordings and transcripts of post earnings/quarterly calls	NA	
16	New name and the old name of the listed entity	NA	
17	Advertisements as per regulation 47 (1)	Yes	
18	Credit rating or revision in credit rating obtained	NA	
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA	

[Home](#)[Validate](#)**Annexure II****II. Annual Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes
3	Meeting of Board of directors	17(2)	Yes
4	Quorum of Board meeting	17(2A)	Yes
5	Review of Compliance Reports	17(3)	Yes
6	Plans for orderly succession for appointments	17(4)	Yes
7	Code of Conduct	17(5)	Yes
8	Fees/compensation	17(6)	Yes
9	Minimum Information	17(7)	Yes
10	Compliance Certificate	17(8)	Yes
11	Risk Assessment & Management	17(9)	Yes
12	Performance Evaluation of Independent Directors	17(10)	Yes
13	Recommendation of Board	17(11)	Yes
14	Maximum number of Directorships	17A	Yes
15	Composition of Audit Committee	18(1)	Yes
16	Meeting of Audit Committee	18(2)	Yes
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes
21	Role of Nomination and Remuneration Committee	19(4)	Yes
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes
24	Role of Stakeholders Relationship Committee	20(4)	Yes
25	Composition and role of risk management committee	21(1),(2),(3),(4)	NA
26	Meeting of Risk Management Committee	21(3A)	NA
27	Quorum of Risk Management Committee meeting	21(3B)	NA
28	Gap between the meetings of the Risk Management Committee	21(3C)	NA
29	Vigil Mechanism	22	Yes
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes

[Home](#)[Validate](#)**Annexure II****III. Affirmations**

Sr	Particulars
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied
<b>Any other information to be provided</b>	

[Prev](#)**Annexure II**

1	Name of signatory
2	Designation

<b>Compliance status (Yes/No/NA)</b>
NA
<a href="#">Add Notes</a>

[Next](#)

SUDHANGSU SEKHAR MAJUMDAR
Company Secretary and Compliance Officer



Home

Validate

Applicability of disclosure
Reason for Non Applicability
<b>I. Disclosure of Loans/ guarantees/comfort letters /</b>
<b>(A) Any loan or any other form of debt advanced by the l</b>
<b>Entity</b>
Promoter or any other entity controlled by them
Promoter Group or any other entity controlled by them
Directors (including relatives) or any other entity controlled by them
KMPs or any other entity controlled by them
<b>(B) Any guarantee / comfort letter (by whatever name c</b>
<b>Entity</b>
Promoter or any other entity controlled by them
Promoter Group or any other entity controlled by them
Directors (including relatives) or any other entity controlled by them
KMPs or any other entity controlled by them
<b>(C) Any security provided by the listed entity directly or i</b>
<b>Entity</b>
Promoter or any other entity controlled by them
Promoter Group or any other entity controlled by them
Directors (including relatives) or any other entity controlled by them
KMPs or any other entity controlled by them
<b>(D) Additional Information</b>
<b>II. Affirmations</b>
<b>Affirmat</b>
All loans (or other form of debt), guarantees, comfort lett connection with any loan(s) (or other form of debt) given
Name
Designation
Place
Date

\_\_\_\_\_

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**Additional Half yearly Disclosure**

Not Applicable

**Add Notes**

**securities etc.refer note below** **The Figure should be mentioned in Actual INR only**  
**listed entity directly or indirectly to**

Aggregate amount advanced during six months	Balance outstanding at the end of six months

**alled) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form**

Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months

**indirectly, in connection with any loan(s) or any other form of debt availed by**

Type of security (cash, shares etc.)	Aggregate value of security provided during six months

Disclosures	Compliance Status
disclosures (by whatever name called) or securities in connection with any loan(s) or any other form of debt availed by the listed entity to	




of debt availed By

**Balance outstanding at the end of six months(taking into account any invocation)**


**Balance outstanding at the end of six months**


**Add Notes**

**Company Remarks**

**Add Notes**

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Next 

[Home](#)[Validate](#)

### Signatory Details

Name of signatory	SUDHANGSU SEKHAR MAJUMDAR
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	31-03-2024

[Prev](#)