BSE LTD ACKNOWLEDGEMENT

Acknowledgement No	: 1110202311453627 Date & Time : 11/10/2023 11:45:36 AM
Scrip Code	: 523209
Entity Name	: NICCO UCO ALLIANCE CREDIT LIMITED
Compliance Type	: Regulation 27(2)- Corporate Governance
Quarter / Period	: 30/09/2023
Mode	: XBRL E-Filing

XBRL Excel Utility	
1.	<u>Overview</u>
2.	Before you begin
3.	Index
4.	Steps for Filing Corporate Governance Report
5.	Fill up the data in excel utility

1. Overview

The excel utility can be used for creating the XBRL/XML file for efiling of Corporate Governa

XBRL filling consists of two processes. Firstly generation of XBRL/XML file and upload of Center Website (www.listing.bseindia.com) .

2. Before you begin

The version of Microsoft Excel in your system should be Microsoft Office Excel 2007 and a
 The system should have a file compression software to unzip excel utility file.

3. Make sure that you have downloaded the latest Excel Utility from BSE Website to your lo

4. Make sure that you have downloaded the Chrome Browser to view report generated from

5. Please enable the Macros (if disabled) as per instructions given in manual, so that all the Please first go through Enable Macro - Manual attached with zip file.

	3. Index
1	Details of general information about company
2	Composition of BOD
3	Composition of Committee
4	Meeting of BOD
5	Meeting of Committees
6	Related Party Transaction
7	Affirmation
8	Website
9	Annual Affirmation
10	Annual Affirmation Continue
11	Annexure III
12	Annexure III Affirmations

13	Additional Half yearly Disclosure	
14	Details of Cyber security incidence	
15	5 Signatory Details	

5. Steps for Filing Corporate Governance Repor

I. Fill up the data: Navigate to each field of every section in the sheet to provide applicate get reflected while filling data.)

- Use paste special command to paste data from other sheet.

II. Validating Sheets: Click on the **''Validate''** button to ensure that the sheet has be furnished in proper format. If there are some errors on the sheet, excel utility will prompt y

III. Validate All Sheets: Click on the **''Home''** button. And then click on "Validate All S been properly filled and validated successfully. If there are some errors on the sheet, exc and stop validation at the same time. After correction, once again follow the same procedur

Excel Utility will not allow you to generate XBRL/XML until you rectify all errors.

IV. Generate XML : Excel Utility will not allow you to generate XBRL/XML unless succe Now click on 'Generate XML'' to generate XBRL/XML file.

- Save the XBRL/XML file in your desired folder in local system.

- **V. Generate Report :** Excel Utility will allow you to generate Report. Now click on 'Generate' Save the HTML Report file in your desired folder in local system.
 - To view HTML Report open "Chrome Web Browser" .
 - To print report in PDF Format, Click on print button and save as PDF.

VI. Upload XML file to BSE Listing Center: For uploading the XBRL/XML file generated t and upload generated xml file. On Upload screen provide the required information and brow

6. Fill up the data in excel utility

1. Cells with red fonts indicate mandatory fields.

2. If mandatory field is left empty, then Utility will not allow you to proceed further for gene

- 3. You are not allowed to enter data in the Grey Cells.
- 4. If fields are not applicable to your company then leave it blank. Do not insert Zero unless
- 5. Data provided must be in correct format, otherwise Utility will not allow you to proceed f
- 6. Select data from "Dropdown list" wherever applicable.
- 7. Adding Notes: Click on "Add Notes" button to add notes



nce Report

f generated XBRL/XML file to BSE Listing

above.

cal system.

Excel utility

: functionalities of Excel Utility works fine.

General Info

Annexure I - Composition of BOD

Annexure I - Composition of Committee

Annexure I - Meeting of BOD

Annexure I - Meeting of Committees

Annexure I - Related Party Transaction

Annexure I - Affirmation

Annexure II - Website

Annexure II - Annual Affirmation

Annexure II - Annual Affirmation Continue

Annexure III

Half yearly Affirmations

Additional Half Yearly Disc

Cyber security incidence

Signatory Details

t

able data in correct format. (Formats will

en properly filled and also data has been ou about the same.

heet" button to ensure that all sheets has el utility will prompt you about the same re to validate all sheets.

essful validation of all sheet is completed.

ate Report" to generate html report.

hrough Utility, login to BSE Listing Center vse to select XML file and submit the XML.

erating XML.

s it is a mandatory field.

urther for generating XML.

Home	Validate
------	----------

General informa	ation abo
Scrip code	
NSE Symbol	
MSEI Symbol	
ISIN	
Name of the entity	
Date of start of financial year	
Date of end of financial year	
Reporting Quarter	
Date of Report	
Risk management committee	
Market Capitalisation as per immediate previo Year	us Financial
Prov	

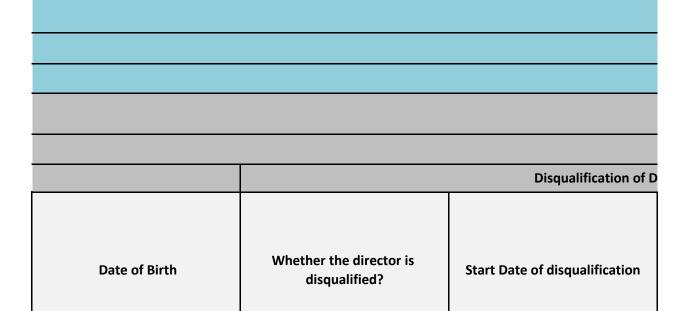
Prev

ut company	
523209	
INE917B01023	
NICCO UCO ALLIANCE CREDIT LIMITED	
01-04-2023	
31-03-2024	
Half Yearly	Fater the averter.
30-09-2023	Enter the quarter ended date only
Not Applicable	
Any other	
Next	

Home Validate				
			Disclosure of ı	notes on composition
				Whether the liste
				Whether Ch
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN
	Add	Delete		
1	Mr	BIDHAN CHANDRA LAHIRI	ABBPL1601Q	00668181
2	Mr	BISWAJIT ROY	AFNPR3841J	00198746
3	Mr	PRABIR KUMAR NAG	ABOPN1728R	07178929
4	Ms	APARNA DEY	AKKPD9954G	06941580
5	Mr	KAUSTUBHA BASAU	ADYPB2055K	10185801
Pre	€V			

of board of directors explanatory	Add Notes	
l entity has a Regular Chairperson	Yes	
airperson is related to MD or CEO	No	
Category 1 of directors	Category 2 of directors	Category 3 of directors

Non-Executive - Non		
Independent Director	Chairperson	
Non-Executive - Independent		
Director	Not Applicable	
Non-Executive - Independent		
Director	Not Applicable	
Non-Executive - Independent		
Director	Not Applicable	
Executive Director	Not Applicable	CEO-MD



21-01-1952	No	
10-02-1970	No	
18-09-1956	No	
25-09-1968	No	
11-12-1952	No	

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

irectors under section 164 of the Companies Act, 2013
End Date of disqualification Details of disqualification Current status

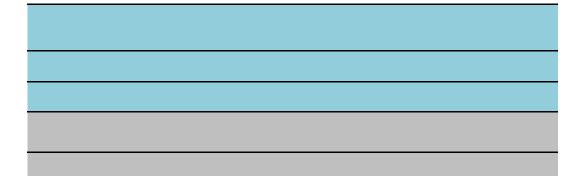
	Active
	Active
	Active
	Active
	Active

Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment

NA	27-03-2006
NA	26-09-2014
NA	26-05-2020
NA	31-07-2014
NA	30-06-2023

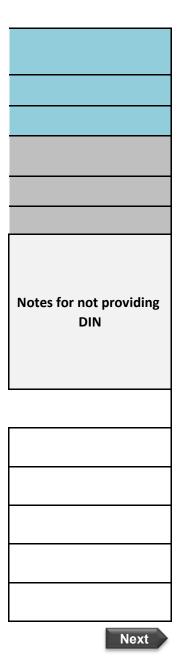
Date of Re- appointment	Date of cessation	Tenure of director (in months)	-	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]
----------------------------	----------------------	--------------------------------------	---	---

		1	0
23-09-2019	108.04	1	1
26-05-2020	40.04		1
			1
30-09-2020	110.00	1	1
		1	0



Number of memberships in		
Number of memberships in	No of post of Chairperson in	
Audit/ Stakeholder	Audit/ Stakeholder	
Committee(s) including this	Committee held in listed	Notes for not providing
listed entity (Refer	entities including this listed	PAN
Regulation 26(1) of Listing	entity (Refer Regulation	
Regulations)	26(1) of Listing Regulations)	

1	0	
1	0	
2	2	
2	0	
0	0	





Audit Committee Details

Note: Please enter DIN. After entering DIN, Name of Committee member

Sr	DIN Number	Name of Committee members
1	07178929	PRABIR KUMAR NAG
2	00198746	BISWAJIT ROY
3	00668181	BIDHAN CHANDRA LAHIRI
4		
5		
6		
7		
8		
9		
10		

Note: Please enter DIN. After entering DIN, Name of Committee member

Nomination and remuneration committee

Sr	DIN Number	Name of Committee members
1	06941580	APARNA DEY
2	00668181	BIDHAN CHANDRA LAHIRI

3	07178929	PRABIR KUMAR NAG
4		
5		
6		
7		
8		
9		
10		

Note: Please enter DIN. After entering DIN, Name of Committee member

Stakeholders Relationship Committee			
Sr	DIN Number	Name of Committee members	
1	07178929	PRABIR KUMAR NAG	
2	06941580	APARNA DEY	
3	10185801	KAUSTUBHA BASAU	
4			
5			
6			
7			
8			
9			
10			

Risk Management Committee

Sr	DIN Number	Name of Committee members
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

Note: Please enter DIN. After entering DIN, Name of Committee membe

Corporate Social Responsibility Committee

Sr	DIN Number	Name of Committee members
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

Other Committee		
Sr	DIN Number	Name of Committee members
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		



Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

ers and Category 1 of Directors shall be prefilled automatically

Whether the Audit Committee has a Regular Chairperson

Category 1 of directors	Category 2 of directors
Non-Executive - Independent Director	Chairperson
Non-Executive - Independent Director	Member
Non-Executive - Non Independent Director	Member

ers and Category 1 of Directors shall be prefilled automatically

Whether the Nomination and remuneration committee has a Regular Chairperson

Category 1 of directors	Category 2 of directors	
Non-Executive - Independent Director	Chairperson	
Non-Executive - Non Independent Director	Member	

Non-Executive - Independent Director	Member

ers and Category 1 of Directors shall be prefilled automatically

Whether the Stakeholders Relationship Committee has a Regular Chairperson

Category 2 of directors
Chairperson
Member
Member

Whether the Risk Management Committee has a Regular Chairperson

Category 1 of directors	Category 2 of directors

ers and Category 1 of Directors shall be prefilled automatically

Whether the Corporate Social Responsibility Committee has a Regular Chairperson			
Category 1 of directors	Category 1 of directors Category 2 of directors		

Name of other committee	Category 1 of directors

	For this quarter kindly note the following points: 1. Date of Appointment and Date of Cessation (if appendix and a constant of the second sec
Add Notes	 2. Date of Appointment can be any day upto Septem 3. Date of Cessation must be for the current quarter to September 30.2022

Yes		
Date of Appointment	Date of Cessation	Remarks
30-03-2021		
30-03-2021		
10-11-2022		

Yes		
Date of Appointment	Date of Cessation	Remarks
Date of Appointment		Kemarks
30-03-2021		nemarks

30-03-2021	

Yes		
Date of Appointment	Date of Cessation	Remarks
30-03-2021		
30-03-2021		
30-06-2023		

Date of Appointment	Date of Cessation	Remarks

Date of Appointment	Date of Cessation	Remarks

Category 2 of directors	Remarks



plicable) must be

uber 30, 2022. only, i.e. July 1,2022

	Home Validate				
			Ar		
Ш.	Meeting of Board of Directors				
	Disclosure of notes on meeting of board of directors explanatory Add N				
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) Note number of days)				
Add Delete					
1	26-05-2023				
2	30-06-2023	34			
3	11-08-2023	41			
	Prev				

* to be filled in only for the current quarter meetings

nexure 1

es

Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)
---	---	---

Yes	5	4
Yes	6	6
Yes	5	5

No. of Indeper Directors attend meeting*	ing the
	2
	3
	3
	Next

	Home Validate		
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)
	Add Delete		
1	Audit Committee	26-05-2023	
2	Audit Committee	11-08-2023	76
3	committee	26-06-2023	
4	committee	11-08-2023	45
	Prev		

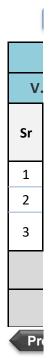
* to be filled in only for the current quarter meetings

Annexure 1					
IV. Meeting of Committees					
Disclosure of notes on meeting of committees explanatory			Add Note		
Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting		

	Yes	3
	Yes	3
	Yes	3
	Yes	3

25		
Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
3	2	0
3	2	0
3	2	0
3	2	0

Next



Home	Validate				
		Annexure 1			
Related	Party Transac	tions			
Subject					
Whether prior approval of audit committee obtained					
Whether shar	reholder approv	val obtained for material RPT			
Whether deta	ails of RPT ente	red into pursuant to omnibus approval have been reviewed by Audit Committee			
		Disclosure of notes on related			
		Disclosure of notes of material transactior			

Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may given here.
Yes	
NA	
Yes	
party transactions	Add Notes
with related party	Add Notes
	Nex

s of non compliance of prior approval of audit committee obtained s of non compliance of shareholder approval obtained for material RPT

s of non compliance of RPT entered into pursuant to omnibus approval have been reviewed by audit committee

sure notes on quarterly formats for compliance report on corporate governance

e of notes of material transaction with related party

Home Validate		
	Details of Cyber security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		
Date of the event	Brief details of the event	

No

VI	
Sr	
1	
2	
3	
4	
5	
6	
7	
8	
9	
Pre	

Sr	
1	
2	

ome	

Annexure 1

Affirmations

Subject

The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015

a. Audit Committee

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.

b. Nomination & remuneration committee

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.

c. Stakeholders relationship committee

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.

d. Risk management committee (applicable to the top 1000 listed entities)

The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors.

Any comments/observations/advice of Board of Directors may be mentioned here:

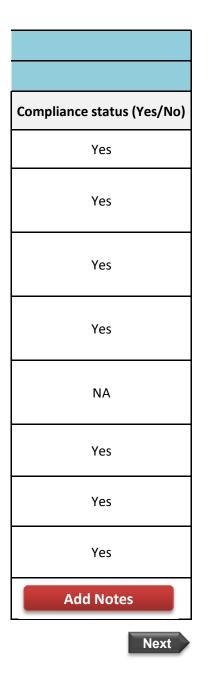
€V

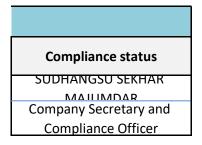
Annexure 1

Subject

Name of signatory

Designation





Home

Validate

III. .	Affirmations
Sr	Particulars
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website
2	Presence of Chairperson of Audit Committee at the Annual General Meeting
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report
6	Submission of Annual Secretarial Compliance Report
7	Whether "Corporate Governance Report" disclosed in Annual Report

Prev

1	Name of signatory
2	Designation

Annexure III	
Regulation Number	Compliance status (Yes/No/NA)
46(2)	Yes
18(1)(d)	Yes
19(3)	Yes
20(3)	Yes
24A(1)	Yes
24A(2)	Yes
34(3) read with para C of Schedule V	Yes
provided	

Annexure III

If status is "No" details of non-compliance may be given here.
Add Notes
Next

SUDHANGSU SEKHAR MAJUMDAR		
Company Secretary and Compliance Officer		



Validate

Applicability of disclosure		
Reason for Non Applicability		
I. Disclosure of Loans/ guarantees/comfort letters /s		
(A)Any loan or any other form of debt advanced by the lis		
Entity		
Promoter or any other entity controlled by them		
Promoter Group or any other entity controlled by them		
Directors (including relatives) or any other entity		
controlled by them		
KMPs or any other entity controlled by them		
(B) Any guarantee / comfort letter (by whatever name ca		
Entity		
Promoter or any other entity controlled by them		
Promoter Group or any other entity controlled by		
them , , , , , , , , , , , , , , , , , , ,		
Directors (including relatives) or any other entity		
controlled by them		
KMPs or any other entity controlled by them		
(C) Any security provided by the listed entity directly or in		
Entity		
Promoter or any other entity controlled by them		
Promoter Group or any other entity controlled by		
them		
Directors (including relatives) or any other entity		
controlled by them		
KMPs or any other entity controlled by them		
(D) Additional Information		
II. Affirmations		
Affirmat		
All loans (or other form of debt), guarantees, comfort lette		
connection with any loan(s) (or other form of debt) given c		
Name		
Designation		
Place		
Date		



Additional Half ye	early Disclosure
Not Applicable	
Add Notes	
ecurities etc.refer note below	The Figure should be mentioned in Actual INR only
sted entity directly or indirectly to	
Aggregate amount advanced during six months	Balance outstanding at the end of six months
lled) provided by the listed entity directly or indirect	y, in connection with any loan(s) or any other form of
Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months
ndirectly, in connection with any loan(s) or any other	form of debt availed by

ndirectly, in connection with any loan(s) or any other form of debt availed by Aggregate value of security provided during six

Type of security (cash, shares etc.)	months

ions	Compliance Status	
ers (by whatever name called) or securities in		
lirectly or indirectly by the listed entity to		

debt availed By

Balance outstanding at the end of six months(taking into account any invocation)

Balance outstanding at the end of six months

Add Notes Company Remarks Add Notes



Home	Validate
------	----------

Signatory Details	
Name of signatory	SUDHANGSU SEKHAR MAJUMDAR
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	06-10-2023

Prev