

BSE LTD

ACKNOWLEDGEMENT

Acknowledgement No : 0404202202533927

Date & Time : 04/04/2022 14:53:54

Scrip Code : 523209

Entity Name : NICCO UCO ALLIANCE CREDIT LIMITED

Compliance Type : Regulation 27(2)- Corporate Governance

Quarter / Period : 31/03/2022

Mode : XBRL E-Filing

[Home](#)[Validate](#)[Import XML](#)

General information about company

Scrip code	523209
NSE Symbol	
MSEI Symbol	
ISIN	INE917B01023
Name of the entity	NICCO UCO ALLIANCE CREDIT LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Yearly
Date of Report	31-03-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Enter the quarter ended date only

[Prev](#)[Next](#)

Home Validate

Disclosure of notes on composition of board of directors explanatory		Add Notes
Whether the listed entity has a Regular Chairperson		Yes
Whether Chairperson is related to MD or CEO		No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors
----	-----------------	----------------------	-----	-----	-------------------------	-------------------------	-------------------------

1	Mr	SUBRATA BHATTACHARJEE	ADLPB2409C	02942693	Executive Director Non-Executive - Independent	Not Applicable	MD
2	Mr	BISWAJIT ROY	AFNPR3841J	00198746	Director Non-Executive - Independent	Not Applicable	
3	Mr	BIDHAN CHANDARA LAHIRI	ABBPL1601Q	00668181	Director Non-Executive - Independent	Chairperson	
4	Mr	PRABIR KUMAR NAG	ABOPN1728R	07178929	Director Non-Executive - Independent	Not Applicable	
5	Mrs	APARNA DEY	AKKPD9954G	06941580	Director	Not Applicable	

Prev

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)
03-01-1952	NA		26-05-2020			36	1
10-02-1970	NA		27-03-2006			48	1
21-01-1952	NA		13-02-2020			60	1
18-09-1956	NA		26-05-2020			60	1
25-09-1968	NA		26-05-2020			60	1

No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
0	1	0		
1	1	0		
1	1	0		
1	2	2		
1	1	0		

Next

Home

Validate

Annexure 1

II. Composition of Committees

Add Notes

Disclosure of notes on composition of committees explanatory

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details

				Whether the Audit Committee has a Regular Chairperson		Yes
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	
1	07178929	PRABIR KUMAR NAG	Non-Executive - Independent Director	Chairperson	30-03-2021	
2	00668181	BIDHAN CHANDARA LAHIRI	Non-Executive - Independent Director	Member	30-03-2021	
3	00198746	BISWAJIT ROY	Non-Executive - Independent Director	Member	30-03-2021	
4						
5						
6						
7						
8						
9						
10						

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee

				Whether the Nomination and remuneration committee has a Regular Chairperson		Yes
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	
1	06941580	APARNA DEY	Non-Executive - Independent Director	Chairperson	30-03-2021	
2	00668181	BIDHAN CHANDARA LAHIRI	Non-Executive - Independent Director	Member	30-03-2021	
3	07178929	PRABIR KUMAR NAG	Non-Executive - Independent Director	Member	30-03-2021	
4						
5						
6						
7						
8						

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Date of Cessation	Remarks

Remarks	

Home Validate

Annexure 1						
III. Meeting of Board of Directors						
Disclosure of notes on meeting of board of directors explanatory						
Add Notes						
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	09-11-2021			Yes	5	4
2	10-02-2022	92		Yes	4	3

Prev

Next

* to be filled in only for the current quarter meetings

Home Validate

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Add Notes	
							Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	Audit Committee	09-11-2021				Yes	3	3
2	Audit Committee	10-02-2022	92			Yes	2	2

Add Delete

Prev

Next

* to be filled in only for the current quarter meetings

Home

Validate

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

Prev

Next

Annexure II

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations

Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		Please refer to Notes at Point 24
2	Terms and conditions of appointment of independent directors	Yes		Please refer to Notes at Point 24
3	Composition of various committees of board of directors	Yes		Please refer to Notes at Point 24
4	Code of conduct of board of directors and senior management personnel	Yes		Please refer to Notes at Point 24
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		Please refer to Notes at Point 24
6	Criteria of making payments to non-executive directors	Yes		Please refer to Notes at Point 24
7	Policy on dealing with related party transactions	Yes		Please refer to Notes at Point 24
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		Please refer to Notes at Point 24
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		Please refer to Notes at Point 24
11	email address for grievance redressal and other relevant details	Yes		Please refer to Notes at Point 24
12	Financial results	Yes		Please refer to Notes at Point 24
13	Shareholding pattern	Yes		Please refer to Notes at Point 24
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		Please refer to Notes at Point 24
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		Please refer to Notes at Point 24
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	No	Please refer to Notes at Point 24	
21	Materiality Policy as per Regulation 30	Yes		
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	NA		
24	Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]		Add Notes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	SUDHANGSU SEKHAR
2	Designation	Company Secretary and Compliance Officer

Annexure II

II. Annual Affirmations		Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
Sr	Annual Affirmations	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'		16(1)(b) & 25(6)	Yes	
2	Board composition		17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors		17(2)	Yes	
4	Quorum of Board meeting		17(2A)	Yes	
5	Review of Compliance Reports		17(3)	Yes	
6	Plans for orderly succession for appointments		17(4)	Yes	
7	Code of Conduct		17(5)	Yes	
8	Fees/compensation		17(6)	Yes	
9	Minimum Information		17(7)	Yes	
10	Compliance Certificate		17(8)	Yes	
11	Risk Assessment & Management		17(9)	NA	
12	Performance Evaluation of Independent Directors		17(10)	Yes	
13	Recommendation of Board		17(11)	Yes	
14	Maximum number of Directorships		17A	Yes	
15	Composition of Audit Committee		18(1)	Yes	
16	Meeting of Audit Committee		18(2)	Yes	
17	Composition of nomination & remuneration committee		19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting		19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee		19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee		20(1), 20(2) & 20(2A)	Yes	
21	Meeting of Stakeholders Relationship Committee		20(3A)	Yes	
22	Composition and role of risk management committee		21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee		21(3A)	NA	
24	Vigil Mechanism		22	Yes	
25	Policy for related party Transaction		23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions		23(2), (3)	Yes	
27	Approval for material related party transactions		23(4)	NA	
28	Disclosure of related party transactions on consolidated basis		23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary		24(1)	Yes	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity		24(2),(3),(4),(5) & (6)	Yes	
31	Annual Secretarial Compliance Report		24(A)	Yes	
32	Alternate Director to Independent Director		25(1)	NA	
33	Maximum Tenure		25(2)	Yes	
34	Meeting of independent directors		25(3) & (4)	Yes	
35	Familiarization of independent directors		25(7)	Yes	
36	Declaration from Independent Director		25(8) & (9)	Yes	

37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided				
Add Notes				

Next

Prev

Annexure II	
1	Name of signatory
2	Designation
	SUDHANGSU SEKHAR MAJUMDAR Company Secretary and Compliance Officer

Home

Validate

Annexure II		
III.	Affirmations	Compliance status (Yes/No/NA)
Sr	Particulars	
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		Add Notes

Prev

Next

Annexure II	
1	Name of signatory
2	Designation

SUDHANGSU SEKHAR MAJUMDAR
Company Secretary and Compliance Officer

Home Validate

Additional Half yearly Disclosure			
Applicability of disclosure	Not Applicable		
Reason for Non Applicability	Add Notes		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc. refer note below			
(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(D) Additional Information			
Add Notes			
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s).			Add Notes
Name			
Designation			
Place			
Date			

Prev

Next

Home

Validate

Signatory Details	
Name of signatory	SUDHANGSU SEKHAR MAJUMDAR
Designation of person	Company Secretary and Compliance Officer
Place	KOLKATA
Date	04-04-2022

Prev