

BSE LTD  
ACKNOWLEDGEMENT

Acknowledgement No : 2107202212391431 Date & Time : 21/07/2022 12:39:14 PM  
Scrip Code : 523209  
Entity Name : NICCO UCO ALLIANCE CREDIT LIMITED  
Compliance Type : Regulation 31 - Shareholding pattern  
Quarter / Period : 30/06/2022  
Mode : E-Filing

| General information about company  |                                   |
|--|-----------------------------------|
| Scrip code   | 523209                            |
| NSE Symbol   |                                   |
| MSEI Symbol  |                                   |
| ISIN   | INE917B01020                      |
| Name of the company  | NICCO UCO ALLIANCE CREDIT LIMITED |
| Whether company is SME   | No                                |
| Class of Security  | Equity Shares                     |
| Type of report   | Quarterly                         |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)                | 30-06-2022                        |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |                                   |
| Shareholding pattern filed under   | Regulation 31 (1) (b)             |
| Whether the listed entity is Public Sector Undertaking (PSU)?                              | No                                |

**Declaration**

| Sr. No. | Particular   | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1       | Whether the Listed Entity has issued any partly paid up shares?                        | No     | No                          | No                 | No                       |
| 2       | Whether the Listed Entity has issued any Convertible Securities ?                      | No     | No                          | No                 | No                       |
| 3       | Whether the Listed Entity has issued any Warrants ?                                    | No     | No                          | No                 | No                       |
| 4       | Whether the Listed Entity has any shares against which depository receipts are issued? | No     | No                          | No                 | No                       |
| 5       | Whether the Listed Entity has any shares in locked-in?                                 | No     | No                          | No                 | No                       |
| 6       | Whether any shares held by promoters are pledge or otherwise encumbered?               | No     | No                          |                    |                          |
| 7       | Whether company has equity shares with differential voting rights?                     | No     | No                          | No                 | No                       |
| 8       | Whether the listed entity has any significant beneficial owner?                        | No     |                             |                    |                          |

Table 1 - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |             |          | Total as a % of (A+B+C) |
|--------------|--------------------------------|----------------------------|--|--|---|---|---|---|-------------|----------|-------------------------|
|              |                                |                            |  |  |   |   |   | No of Voting (XIV) Rights                                     |             |          |                         |
|              |                                |                            |  |  |   |   |   | Class eg: X   | Class eg: Y | Total    |                         |
| (A)          | Promoter & Promoter's Group    | 10                         | 10982000                                     |  |   | 10982000                                      | 13.22   | 10982000  |             | 10982000 | 13.22                   |
| (B)          | Public                         | 32823                      | 72063503                                     |  |   | 72063503                                      | 86.78   | 72063503  |             | 72063503 | 86.78                   |
| (C)          | Non Promoter-Non Public        |                            |  |  |   |   |   |   |             |          |                         |
| (C1)         | Shares underlying DRs          |                            |  |  |   |   |   |   |             |          |                         |
| (C2)         | Shares held by Employee Trusts |                            |  |  |   |   |   |   |             |          |                         |
|              | Total                          | 32833                      | 83045503                                     |  |   | 83045503                                      | 100   | 83045503  |             | 83045503 | 100                     |

**Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (V(I)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
|              |                                |   |  |  |  | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| (A)          | Promoter & Promoter Group      |   |  |  | 13.22  |                                  |                                 |   |                                 | 3923475   |
| (B)          | Public                         |   |  |  | 86.78  |                                  |                                 |   |                                 | 24159318  |
| (C)          | Non Promoter-Non Public        |   |  |  |  |                                  |                                 |   |                                 |   |
| (C1)         | Shares underlying DRs          |   |  |  |  |                                  |                                 |   |                                 |   |
| (C2)         | Shares held by Employee Trusts |   |  |  |  |                                  |                                 |   |                                 |   |
|              | Total                          |   |  |  | 100  |                                  |                                 |   |                                 | 28082793  |

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.  | Category & Name of the Shareholders (I)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V) + (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |             |          | Total as a % of Total Voting rights |
|--|---|----------------------------|--|--|---|--|---|---|-------------|----------|-------------------------------------|
|  |   |                            |  |  |   |  |   | Class eg: X   | Class eg: y | Total    |                                     |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group              |                            |  |  |   |  |   |   |             |          |                                     |
| (1)  | Indian  |                            |  |  |   |  |   |   |             |          |                                     |
| (a)  | Individuals/Hindu undivided Family  | 1                          | 1  |  |   | 1  | 0   | 1   |             | 1        | 0                                   |
| (c)  | Financial Institutions/ Banks   | 1                          | 2314285                                      |  |   | 2314285  | 2.79  | 2314285   |             | 2314285  | 2.79                                |
| (d)  | Any Other (specify)   | 8                          | 8667714                                      |  |   | 8667714  | 10.44   | 8667714   |             | 8667714  | 10.44                               |
| Sub-Total (A)(1)   |   | 10                         | 10982000                                     |  |   | 10982000                                       | 13.22   | 10982000  |             | 10982000 | 13.22                               |
| (2)  | Foreign   |                            |  |  |   |  |   |   |             |          |                                     |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) |   | 10                         | 10982000                                     |  |   | 10982000                                       | 13.22   | 10982000  |             | 10982000 | 13.22                               |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                      |                            |  |  |   |  |   |   |             |          |                                     |
| (1)  | Institutions  |                            |  |  |   |  |   |   |             |          |                                     |
| (a)  | Mutual Funds  | 6                          | 2030   |  |   | 2030   | 0   | 2030  |             | 2030     | 0                                   |
| (e)  | Foreign Portfolio Investors   | 1                          | 250  |  |   | 250  | 0   | 250   |             | 250      | 0                                   |
| (f)  | Financial Institutions/ Banks   | 8                          | 1619644                                      |  |   | 1619644  | 1.95  | 1619644   |             | 1619644  | 1.95                                |
| Sub-Total (B)(1)   |   | 15                         | 1621924                                      |  |   | 1621924  | 1.95  | 1621924   |             | 1621924  | 1.95                                |
| (3)  | Non-institutions  |                            |  |  |   |  |   |   |             |          |                                     |
| (a(i))   | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.         | 32530                      | 49700665                                     |  |   | 49700665                                       | 59.85   | 49700665  |             | 49700665 | 59.85                               |
| (a(ii))  | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 9                          | 2240663                                      |  |   | 2240663  | 2.7   | 2240663   |             | 2240663  | 2.7                                 |
| (c)  | Any Other (specify)   | 269                        | 18500251                                     |  |   | 18500251                                       | 22.28   | 18500251  |             | 18500251 | 22.28                               |
| Sub-Total (B)(3)   |   | 32808                      | 70441579                                     |  |   | 70441579                                       | 84.82   | 70441579  |             | 70441579 | 84.82                               |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)                   |   | 32823                      | 72063503                                     |  |   | 72063503                                       | 86.78   | 72063503  |             | 72063503 | 86.78                               |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder     |                            |  |  |   |  |   |   |             |          |                                     |
| Total (A+B+C2)   |   | 32833                      | 83045503                                     |  |   | 83045503                                       | 100   | 83045503  |             | 83045503 | 100                                 |

|                  |  |       |          |  |          |     |          |          |     |
|------------------|--|-------|----------|--|----------|-----|----------|----------|-----|
| Total<br>(A+B+C) |  | 32833 | 83045503 |  | 83045503 | 100 | 83045503 | 83045503 | 100 |
|------------------|--|-------|----------|--|----------|-----|----------|----------|-----|

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.  | No. Of Shares Underlying Outstanding convertible securities (X)                               | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--|---|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
|  |   |  |  |  | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group          |  |  |  |                                  |                                 |   |                                 |   |
| (1)  | Indian  |  |  |  |                                  |                                 |   |                                 |   |
| (a)  |   |  |  | 0  |                                  |                                 |   |                                 | 0   |
| (c)  |   |  |  | 2.79   |                                  |                                 |   |                                 | 2314285   |
| (d)  |   |  |  | 10.44  |                                  |                                 |   |                                 | 1609190   |
| Sub-Total (A) (1)  |   |  |  | 13.22  |                                  |                                 |   |                                 | 3923475   |
| (2)  | Foreign   |  |  |  |                                  |                                 |   |                                 |   |
| Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2) |   |  |  | 13.22  |                                  |                                 |   |                                 | 3923475   |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                  |  |  |  |                                  |                                 |   |                                 |   |
| (1)  | Institutions  |  |  |  |                                  |                                 |   |                                 |   |
| (a)  |   |  |  | 0  |                                  |                                 |   |                                 | 0   |
| (e)  |   |  |  | 0  |                                  |                                 |   |                                 | 0   |
| (f)  |   |  |  | 1.95   |                                  |                                 |   |                                 | 1586260   |
| Sub-Total (B) (1)  |   |  |  | 1.95   |                                  |                                 |   |                                 | 1586260   |
| (3)  | Non-institutions  |  |  |  |                                  |                                 |   |                                 |   |
| (a(i))   |   |  |  | 59.85  |                                  |                                 |   |                                 | 5847608   |
| (a(ii))  |   |  |  | 2.7  |                                  |                                 |   |                                 | 2120663   |
| (e)  |   |  |  | 22.28  |                                  |                                 |   |                                 | 14604787  |
| Sub-Total (B) (3)  |   |  |  | 84.82  |                                  |                                 |   |                                 | 22573058  |
| Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)                  |   |  |  | 86.78  |                                  |                                 |   |                                 | 24159318  |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |  |                                  |                                 |   |                                 |   |
| Total (A+B+C2)   |   |  |  | 100  |                                  |                                 |   |                                 | 28082793  |
| Total (A+B+C)  |   |  |  | 100  |                                  |                                 |   |                                 | 28082793  |



|   |                |  |
|---|----------------|--|
| Number of equity shares held in dematerialized form (XIV) |                |  |
| Reason for not providing PAN                              |                |  |
| Reason for not providing PAN                              |                |  |
| Shareholder type  | Promoter Group |  |

| <b>Financial Institutions/ Banks</b>  |            |                                       |
|---|------------|---------------------------------------|
| Serial No.  | 1          |                                       |
| Name of the Shareholders (I)  | UCO BANK   | <a href="#">Click here to go back</a> |
| PAN (II)  | AAACU3561B | Total                                 |
| No. of fully paid up equity shares held (IV)  | 2314285    | 2314285                               |
| No. Of Partly paid-up equity shares held (V)  |            |                                       |
| No. Of shares underlying Depository Receipts (VI)   |            |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)  | 2314285    | 2314285                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)   | 2.79       | 2.79                                  |
| Number of Voting Rights held in each class of securities (IX)   |            |                                       |
| Class eg: X   | 2314285    | 2314285                               |
| Class eg: y   |            |                                       |
| Total   | 2314285    | 2314285                               |
| Total as a % of Total Voting rights   | 2.79       | 2.79                                  |
| No. Of Shares Underlying Outstanding convertible securities (X)   |            |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)  |            |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)  |            |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 2.79       | 2.79                                  |
| Number of Locked in shares (XII)  |            |                                       |
| No. (a)   |            |                                       |
| As a % of total Shares held (b)   |            |                                       |
| Number of Shares pledged or otherwise encumbered (XIII)   |            |                                       |
| No. (a)   |            |                                       |
| As a % of total Shares held (b)   |            |                                       |
|   | 2314285    | 2314285                               |

|   |          |  |
|---|----------|--|
| Number of equity shares held in dematerialized form (XIV) |          |  |
| Reason for not providing PAN                              |          |  |
| Reason for not providing PAN                              |          |  |
| Shareholder type  | Promoter |  |

| Any Other (specify)   |  |  |                                    |                                     |                              |                                |   |
|---|--|--|------------------------------------|-------------------------------------|------------------------------|--------------------------------|---|
| Serial No.  | 1                                      | 2  | 3                                  | 4                                   | 5                            | 6                              | 7   |
| Category  | Bodies Corporate                       | Bodies Corporate                         | Bodies Corporate                   | Bodies Corporate                    | Bodies Corporate             | Bodies Corporate               | Trusts  |
| Name of the Shareholders (I)  | NICCO CORPORATION LTD (IN LIQUIDATION) | ASSOCIATED INDUSTRIAL DEVELOPMENT CO LTD | HERCULES TRADING CORPORATION P LTD | HINDUSTAN WIRE & METAL PRODUCTS LTD | NICCO FINANCIAL SERVICES LTD | KANTA BHAN PROPERTIES PVT LTD. | KANTA BHAN MEMORIAL CHARITY TRUST- RAHVI KAUJ Trustee |
| PAN (II)  | AABCN0570G                             | AACCA1731B                               | AAACH6479H                         | AAACH6237R                          | AADCN0157D                   | AABCK0701C                     | AAAPL566C   |
| No. of the Shareholders (I)   | 1                                      | 1  | 1                                  | 1                                   | 1                            | 1                              | 1   |
| No. of fully paid up equity shares held (IV)  | 7058524                                | 172683                                   | 409507                             | 450578                              | 329113                       | 28114                          | 127996  |
| No. Of Partly paid-up equity shares held (V)  |  |  |                                    |                                     |                              |                                |   |
| No. Of shares underlying Depository Receipts (VI)   |  |  |                                    |                                     |                              |                                |   |
| Total nos. shares held (VII) = (IV) + (V) + (VI)  | 7058524                                | 172683                                   | 409507                             | 450578                              | 329113                       | 28114                          | 127996  |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 8.5                                    | 0.21                                     | 0.49                               | 0.54                                | 0.4                          | 0.03                           | 0.15  |
| Number of Voting Rights held in each class of securities (IX)                                       |  |  |                                    |                                     |                              |                                |   |
| Class eg. X   | 7058524                                | 172683                                   | 409507                             | 450578                              | 329113                       | 28114                          | 127996  |
| Class eg. y   |  |  |                                    |                                     |                              |                                |   |
| Total   | 7058524                                | 172683                                   | 409507                             | 450578                              | 329113                       | 28114                          | 127996  |
| Total as a % of Total Voting rights   | 8.5                                    | 0.21                                     | 0.49                               | 0.54                                | 0.4                          | 0.03                           | 0.15  |
| No. Of Shares Underlying Outstanding convertible securities (X)                                     |  |  |                                    |                                     |                              |                                |   |
| No. of Shares Underlying Outstanding Warrants (XI)  |  |  |                                    |                                     |                              |                                |   |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)            |  |  |                                    |                                     |                              |                                |   |
| Shareholding as a % assuming full conversion of   | 8.5                                    | 0.21                                     | 0.49                               | 0.54                                | 0.4                          | 0.03                           | 0.15  |

|  |          |                |                |                |                |                |                |
|--|----------|----------------|----------------|----------------|----------------|----------------|----------------|
| convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) |          |                |                |                |                |                |                |
| Number of Locked in shares (XII)   |          |                |                |                |                |                |                |
| No. (a)  |          |                |                |                |                |                |                |
| As a % of total Shares held (b)  |          |                |                |                |                |                |                |
| Number of Shares pledged or otherwise encumbered (XIII)  |          |                |                |                |                |                |                |
| No. (a)  |          |                |                |                |                |                |                |
| As a % of total Shares held (b)  |          |                |                |                |                |                |                |
| Number of equity shares held in dematerialized form (XIV)  | 0        | 172683         | 409507         | 430578         | 329113         | 28114          | 127996         |
| Reason for not providing PAN   |          |                |                |                |                |                |                |
| Reason for not providing PAN   |          |                |                |                |                |                |                |
| Shareholder type   | Promoter | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group |

| Any Other (specify)   |   |                                       |
|---|---|---------------------------------------|
| Serial No.  | 8   |                                       |
| Category  | Trusts  | <a href="#">Click here to go back</a> |
| Name of the Shareholders (I)  | NICCO RESTRUCTURING EMPLOYEES TRUST FUND--RAJIVE KAUL & JS Paul, Trustees |                                       |
| PAN (II)  | AAATN6220D  | Total                                 |
| No. of the Shareholders (I)   | 1   | 8                                     |
| No. of fully paid up equity shares held (IV)  | 91199   | 8667714                               |
| No. Of Partly paid-up equity shares held (V)  |   |                                       |
| No. Of shares underlying Depository Receipts (VI)   |   |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)  | 91199   | 8667714                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)   | 0.11  | 10.44                                 |
| Number of Voting Rights held in each class of securities (IX)   |   |                                       |
| Class eg: X   | 91199   | 8667714                               |
| Class eg: y   |   |                                       |
| Total   | 91199   | 8667714                               |
| Total as a % of Total Voting rights   | 0.11  | 10.44                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)   |   |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)  |   |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)  |   |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 0.11  | 10.44                                 |
| Number of Locked in shares (XII)  |   |                                       |
| No. (a)   |   |                                       |
| As a % of total Shares held (b)   |   |                                       |
| Number of Shares pledged or otherwise encumbered (XIII)   |   |                                       |

|   |                |         |
|---|----------------|---------|
| No. (a)   |                |         |
| As a % of total Shares held (b)                           |                |         |
| Number of equity shares held in dematerialized form (NIV) | 91199          | 1609190 |
| Reason for not providing PAN                              |                |         |
| Reason for not providing PAN                              |                |         |
| Shareholder type  | Promoter Group |         |

| Financial Institutions, Banks  |                      |                                       |
|--|----------------------|---------------------------------------|
| Serial No.   | 1                    |                                       |
| Name of the Shareholders (I)   | INDIAN OVERSEAS BANK | <a href="#">Click here to go back</a> |
| PAN (II)   | AAACH1223J           | Total                                 |
| No. of fully paid up equity shares held (IV)   | 1485000              | 1485000                               |
| No. Of Partly paid-up equity shares held (V)   |                      |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                      |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 1485000              | 1485000                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 1.79                 | 1.79                                  |
| Number of Voting Rights held in each class of securities (IX)  |                      |                                       |
| Class eg: X  | 1485000              | 1485000                               |
| Class eg:y   |                      |                                       |
| Total  | 1485000              | 1485000                               |
| Total as a % of Total Voting rights  | 1.79                 | 1.79                                  |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                      |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                      |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                      |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2) | 1.79                 | 1.79                                  |
| Number of Locked in shares (XII)   |                      |                                       |
| No. (a)  |                      |                                       |
| As a % of total Shares held (b)  |                      |                                       |
| Number of equity shares held in dematerialized form (XIV)  | 1485000              | 1485000                               |
| Reason for not providing PAN   |                      |                                       |
|  |                      |                                       |