

BSE LTD

ACKNOWLEDGEMENT

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Date & Time : 19/10/2021 06:05:45 PM

Scrip Code : 523209

Entity Name : NICCO UCO ALLIANCE CREDIT LIMITED

Compliance Type : Regulation 31 - Shareholding pattern

Quarter / Period : 30/09/2021

Mode : E-Filing

General information about company

Scrip code	523209
NSE Symbol	
MSEI Symbol	
ISIN	INE917B01023
Name of the company	NICCO UCO ALLIANCE CREDIT LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

General information about company

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Name of the company	NICCO UCO ALLIANCE CREDIT LIMITED
Whether company is SME	No
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Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder		Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No			
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No			
7	Whether company has equity shares with differential voting rights?	No	No	No		No
8	Whether the listed entity has any significant beneficial owner?	No				

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category Y (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rightsheld :	
								Class eg: X	No of Voting (XIV) Right Class eg:Y
(A)	Promoter & Promoter Group	10	10982000			10982000	13.22	1,09,82,000.00	
(B)	Punjab	2870	72063503			72063503	86.78	2030.00	
(C)	Non Promoter - Non Public								
(C1)	Shares underlying DRs								
(C2)	Shares held by Employee Trusts								
	Total	32880	83045503			83045503	100	10984030.00	

(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)	270	18408978																
	Sub-Total (B)(3)	32853	70441333																
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	32870	72063503																

Details of the shareholders acting as persons in Concert for Public

Details of Shares which remain unclaimed for Public

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

(1)	Custodian/DR Holder - Name of DR Holders (If Available)																		
(2)	Employee Benefit Trusts (under SEBI (Share Based Employee Benefit) Regulations, 2014)																		
	Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)																		
	Total (A+B+C)	32880	83045503																
	Total (A+B+C)	32880	83045503																

Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage

Disclosure of notes in case of public share holding is less than 25 percentage

Disclosure of notes on shareholding pattern for company remarks explanatory

	Add Notes	10984030.00	10984030
	Add Notes	10984030.00	10984030
	Add Notes	10984030.00	10984030

Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	

0.00				0.00					0
21.07				2.79					2314285
78.91				0.00					1609190
59.96				13.22					3923475

99.98				13.22					3923475

0.02				0.00					0
0.00				0.00					0
				1.95					1586506
0.02				1.95					1586506
0.00				59.96					5927023
0.00				2.70					2120663

In each class of securities (X)	Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (Xi) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
10982000.00	99.98				13.22					3923475
2030.00	0.02				86.78					24147706
10984030.00	100.00				100					28071181

Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+ (vi)
B3(e) Any Other (specify)							

1	Bodies Corporate	Category			228	10901514	10901514
2	Bodies Corporate	More than 1 percentage of shareholding	SANMAR HOLDING LTD.	AAACSS774R	1	7130441	7130441
3	Non-Resident Indian (NRI)	Category			39	744194	744194
4	Overseas Corporate Bodies	Category			2	1971428	1971428
5	Overseas Corporate Bodies	More than 1 percentage of shareholding	S.G.HANBROS BANK & TRUST	ZZZZZ9999Z	1	1560000	1560000
6	Others	Category	CDSL		1	4791842	4791842
Click here to go back					Total	270	18408978

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
	No of Voting (XIV) Rights		Total				
	Class eg: X						
13.13	10901514	10901514	99.25	13.13	8989197		
8.59	7130441	7130441	61.92	8.59	0		
0.90	744194	744194	6.78	0.90	732475		
2.37	1971428	1971428	17.95	2.37	0		
1.88	1560000	1560000	14.20	1.88	0		12
5.77	4791842	4791842	43.63	5.77	4791842		
22.17	18408978.00	18408978.00	167.61	22.17	14513514		

Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+ (vi)	
B3(e) Any Other (specify)								
1	Bodies Corporate	Category			228	10901514	10901514	
2	Bodies Corporate	More than 1 percentage of shareholding	SANMAR HOLDING LTD.	AAACSS774R	1	7130441	7130441	
3	Non-Resident Indian (NRI)	Category			39	744194	744194	
4	Overseas Corporate Bodies	Category			2	1971428	1971428	
5	Overseas Corporate Bodies	More than 1 percentage of shareholding	S.G.HANBROS BANK & TRUST	ZZZZZ9999Z	1	1560000	1560000	
6	Others	Category	CDSL		1	4791842	4791842	
Click here to go back					Total	270	18408978	18408978

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
	No of Voting (XIV) Rights	Total	Total as a % of Total Voting rights			
13.13	10901514	10901514	99.25	13.13	8989197	
8.59	7130441	7130441	64.92	8.59	0	
0.90	744194	744194	6.78	0.90	732475	
2.37	1971428	1971428	17.95	2.37	0	
1.88	1560000	1560000	14.20	1.88	0	12
5.77	4791842	4791842	43.63	5.77	4791842	
22.17	18408978.00	18408978.00	167.61	22.17	14513514	

Serial No.	Category	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of Total Voting Rights	No. Of Shares Underlying Outstanding securities and No. Of Warrants (xi) (a)
								No of Voting Rights	Total		
A1(d) Any Other (specify)											

1	Bodies Corporate	NICCO CORPORATION LTD (IN LIQUIDATION)	AABCN0570G	1	7058524	7058524	8.50	7058524.00	7058524.00	64.26	
2	Bodies Corporate	ASSIGATED INDUSTRIAL DEVELOPMENT CO. PV	AACCA1731B	1	172683	172683	0.21	172683.00	172683.00	1.57	
3	Bodies Corporate	HECQUES TRADING COOP. PVT. LTD.	AAACH6479H	1	409507	409507	0.49	409507.00	409507.00	3.73	
4	Bodies Corporate	HINDUSTHAN WIRE & METEALS PRODUCT PVT	AAACH6287R	1	450578	450578	0.54	450578.00	450578.00	4.10	
5	Bodies Corporate	NICCO FINANCIAL SERVICES LTD.	AADCN0157D	1	329113	329113	0.40	329113.00	329113.00	3.00	
6	Bodies Corporate	KANTA BHAN PROPERTIES PVT LTD	AABCK0701C	1	28114	28114	0.03	28114.00	28114.00	0.26	
7	Trusts	J.N. BHAN MEMORIAL CHARITY TRUST(RAJIVE K)	AAAPJ3566C	1	127996	127996	0.15	127996.00	127996.00	1.17	
8	Trusts	NICCO RESTRUCTURING EMPLOYEES TRUST FUN	AAATN6220D	1	91199	91199	0.11	91199.00	91199.00	0.82	
				Total	8667714	8667714	10.44	8667714.00	8667714.00	78.91	

Sl. No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of Total Voting Rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VIII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN
						No of Voting Rights	Total				
B1(f) Financial Institutions/ Banks											
Disclosure of shareholder holding more than 1% of total number of shares											
1	INDIAN OVERSEAS BANK	AAACI1223I	1485000	1485000	1.79	1485000.00	1485000.00	13.52	1.79	1485000	
			Total	1485000	1.79	1485000.00	1485000.00	13.52	1.79	1485000	

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities		Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(X)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No of Voting (XIV) Rights	Class eg: X						
1	LAKSHMI NARAIN KAUL	AEWPK1287R	1	1	0.00	1.00	1.00	0.00		0.00	0		Promoter Group
			Total			1.00	1.00	0.00		0.00	0		

A1(a) Individuals/Hindu undivided Family

Serial No	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities		Total as a % of Total Voting rights	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(X)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
						No of Voting (XIV) Rights	Class eg: X						
A1(c) Financial Institutions/ Banks													
1	UCO BANK	AAACU3561B	2314285	2314285	2.79	2314285.00	2314285.00	21.07		2.79	2314285		Promoter
			Total	2314285	2.79	2314285.00	2314285.00	21.07		2.79	2314285		

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								No of Voting (XIV) Rights	Total as a % of Total Voting rights		
A1(d) Any Other (specify)											
1	Bodies Corporate	THE CO-OPERATIVE BANK (LIQUIDATION)	AABEN05208	1	7058524	7058524	8.50	7058524.00	7058524.00	64.26	
2	Bodies Corporate	ASSOCIATED INDUSTRIAL DEVELOPMENT CO. PV	AAAC01731R	1	172683	172683	0.21	172683.00	172683.00	1.57	
3	Bodies Corporate	HERCULES TRADING CORP. PVT. LTD	AAV016877G	1	409577	409577	0.48	409577.00	409577.00	3.73	
4	Bodies Corporate	THE ASSOCIATED BANKERS' ASSOCIATION PVT. LTD	AAAC01731R	1	450018	450018	0.54	450018.00	450018.00	4.10	
5	Bodies Corporate	NICCO FINANCIAL SERVICES LTD	AADCN0357D	1	329113	329113	0.40	329113.00	329113.00	3.00	
6	Bodies Corporate	KANTIA BHAN PROPERTIES PVT LTD	AABCK0701C	1	28114	28114	0.03	28114.00	28114.00	0.26	
7	Trusts	J.N. BHAN MEMORIAL CHARITY TRUST(RAJIVE KA	AAAP13566C	1	127996	127996	0.15	127996.00	127996.00	1.17	
8	Trusts	NICCO RESTRUCTURING EMPLOYEES TRUST FUN	AAATN6220D	1	91199	91199	0.11	91199.00	91199.00	0.83	
				Total		8667744	10.44	8667744.00	8667744.00	78.91	
B1(f) Financial Institutions/ Banks											
Disclosure of shareholder holding more than 1% of total number of shares											
1	INDIAN OVERSEAS BANK	AAACI1223J	1485000	1485000	1485000	1485000	1.79	1485000.00	1485000.00	13.52	
		Total	1485000	1485000	1485000	1485000	1.79	1485000.00	1485000.00	13.52	

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Disclosure of shareholder holding more than 1% of total number of shares

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